

## Your details

Full name	<input type="text"/>
Client reference number <sup>1</sup>	<input type="text"/>
Address	<input type="text"/>
Postcode	<input type="text"/>
Email address	<input type="text"/>

Please complete in **BLOCK capitals using black ink.**

Please note any sections that are not completed correctly may incur delays or may have to be returned to you.

- <sup>1</sup> Your client reference number can be found on any correspondence from us i.e. ABC123.
- <sup>2</sup> Tick one box per investment to indicate which account your instruction relates to.
- <sup>3</sup> Please state the precise reason, for example, "I/we feel sufficiently confident in my/our knowledge of this type of investment to make my/our own decision".

## Investments

I/We hereby request Close Asset Management Limited to place the following investments on my/our behalf

Portfolio <sup>2</sup>	Instrument name (include SEDOL if known)	Quantity	Transaction type
ISA		£	
Investment Account		OR	Purchase <input type="checkbox"/>
SIPP		units	Sale <input type="checkbox"/>
ISA		£	
Investment Account		OR	Purchase <input type="checkbox"/>
SIPP		units	Sale <input type="checkbox"/>
ISA		£	
Investment Account		OR	Purchase <input type="checkbox"/>
SIPP		units	Sale <input type="checkbox"/>
ISA		£	
Investment Account		OR	Purchase <input type="checkbox"/>
SIPP		units	Sale <input type="checkbox"/>

## Declarations

I/We have not sought advice because: <sup>3</sup>

Declarations (continued)

- Where the above instruction relates to an investment into a Close Brothers fund(s), you confirm that you have read and understood any Key Investor Information Documentation (KIID) and Sustainability Disclosures provided on our website – [closebrothersam.com/funds](https://closebrothersam.com/funds)
- Where the above instruction relates to an investment into a third party fund, you acknowledge that Close Brothers will be required to provide you with the appropriate Key Investor Information Documentation before this transaction can be processed
- Close Brothers will endeavour to provide you with a sustainability disclosure document where one has been made available for distribution by the fund manager. Where we are distributing non-UK domiciled funds, FCA rules require we provide you the following notice: 'This product is based overseas and is not subject to UK sustainable investment labelling and disclosure requirements'
- Close Brothers will endeavour to provide the required documentation electronically upon receipt of this form, however, should you choose to request this is sent via the post you are aware that this may cause additional delays in processing your instruction
- Close Brothers has given no advice as to the suitability of this product/investment for your needs. By reading and accepting the information and declaration below you confirm that you are solely responsible for your investment decisions, for the suitability of the product you have selected and that you understand the risks to your capital that are involved. If you are unsure about the suitability of the product/investment for your needs, or if you are in any doubt about anything else regarding investment, we recommend you seek professional financial advice
- All instructions to buy or sell investments will be completed in line with our order execution policy, as detailed in our Terms and Conditions
- Initial Financial Planning and Implementation Fees are not applied to this instruction

Signature	<input type="text"/>
Name (BLOCK CAPITALS)	<input type="text"/>
Date of signature	<input type="text"/> D <input type="text"/> D / <input type="text"/> M <input type="text"/> M / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y

Signature	<input type="text"/>
Name (BLOCK CAPITALS)	<input type="text"/>
Date of signature	<input type="text"/> D <input type="text"/> D / <input type="text"/> M <input type="text"/> M / <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y

**To return this form, please scan and email it from your registered email address to:**  
**[directinvestment@closebrothers.com](mailto:directinvestment@closebrothers.com)**

**Or you can post it using our FREEPOST address:**  
**'Freepost CBAM Online Investor Support'**

**Or, if signing electronically via DocuSign then click 'Finish' to submit**